

FORM PTO/SB/08A/B (10-01)
Substitute for PTO-1449A/B



INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	47475/DMC/S965
Application Number	10/002,703
Filing Date	October 30, 2001
Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2872
Examiner Name	Mohammad Yunus Sikder

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
M-S		5,715,047	02-03-1998	Adamovsky
↓		5,784,507	07-21-1998	Holm-Kennedy et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	1 ⁶ (<input checked="" type="checkbox"/>)

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE	<i>Yes</i>	DATE CONSIDERED	<i>24504</i>
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.</p>			

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FORM PTO/SB/08A/B (10-04)
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OPI INFORMATION DISCLOSURE
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JUL 21 2003
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Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2872
Examiner Name	Mohammad Yunus Sikder SIEDR

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		5,491,576	02-13-1996	Bergano
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FOREIGN PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ⁴ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

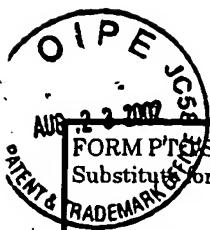
OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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EXAMINER SIGNATURE	<i>Yes</i>	DATE CONSIDERED	<i>21/15/04</i>
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Examiner Name	To Be Assigned S1FOGR

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EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number • kind code ² (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
W		4,317,611	03-02-1982	Petersen
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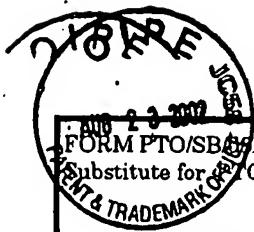
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Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2872
Examiner Name	To Be Assigned S147054

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EXAMINER INITIALS	CITE NO. ¹	DOCUMENT NUMBER Number - kind code ² . (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
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		US 2001/0036206 A1	11-01-2001	Jerman et al.
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W		US 2002/0076480 A1	06-20-2002	Hsieh et al.

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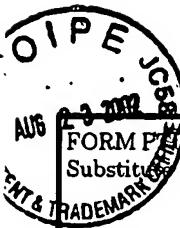
EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
W		FR 2805092	08-17-2001	Corning Incorporated	
		WO 02/37069 A1	05-10-2002	Santur Corporation	
		WO 02/37621 A2	05-10-2002	Santur Corporation	
		WO 02/058197 A2	07-25-2002	Santur Corporation	

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EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
W		"Broadband Lightwave Sources and System", Gayton Photonics Ltd., http://www.infowin.org/ACTS/RUS/PROJECTS/ac065.htm , printed 10-17-2000 (4 pages).
		Dellunde, Jaume, "Laser diodes", http://www.geocities.com/jdellund/receng.htm , printed 08/08/2001 (4 pages).
		Hunter, D.K., et al., "Guided wave optical switch architectures. Part 1. Space switching", International Journal of Optoelectronics, Vol. 9, No. 6, 1994 (pages 477-487).

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AUG 3 2001
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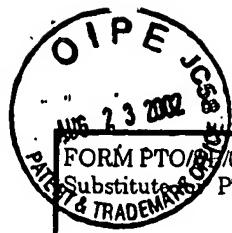
Attorney Docket Number	47475/DMC/S965
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Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2872
Examiner Name	To Be Assigned <i>SIPDTR</i>

OTHER DOCUMENTS

EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>W</i>		Solgaard, O., et al., "Optoelectronic Packaging Using Silicon Surface-Micromachined Alignment Mirrors", IEEE Photonics Technology Letters, Vol. 7, No. 1, 1995 (pp. 41-43) (4 pages total).
		Li, G.P., et al., "16-Wavelength Gain-Coupled DFB Laser Array with Fine Tunability", IEEE Photonics Technology Letters, Vol. 8, No. 1, January 1996 (pages 22-24).
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<i>[Signature]</i>		2/15/09

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Examiner Name	To Be Assigned

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M		Maluf, N., "Optical switches", An Introduction to Microelectromechanical Systems Engineering, 2000, pages 187-190 (3 pages).
		Nakano, H., "TECHNOLOGICAL TRENDS OF OPTICAL COMMUNICATION DEVICES FOR DWDM", NEC Device Technology International 2000, No. 59 (5 pages).
		Liu, F., et al., "Cost-effective wavelength selectable light source using DFB fibre laser array", Electronics Letters, Vol. 36, No. 7, 30 March 2000, pages 620-621, (3 pages).
		Hunwicks, A., "Advancing the Optical Component", http://www.telecoms-mag.com/issues/200004/tci/advancing.html , April 2000, printed 10/17/2000 (6 pages).
		Pezeshki, B., et al., "12nm tunable WDM source using an integrated laser array", Electronics Letters, Vol. 36, No. 9, 27 April 2000 (pages 788-789).
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		Silverman, S., "Vcs beam big bucks at optics upstarts", Redherring.com, October 09, 2000, http://www.redherring.com/vc/2000/1009/vc-optics100900.html?id=yahoo , printed 10/10/2000 (5 pages).

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FEB 14 2003
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Applicant(s)	Bardia Pezeshki, et al.
Group Art Unit	2874
Examiner Name	Tina M. LIN

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W		3,924,937	12-09-1975	Munroe et al.
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W		International Search Report dated 01 November 2002 for International Application No. PCT/US01/45691 and mailed 06 January 2003 (3 pages)		

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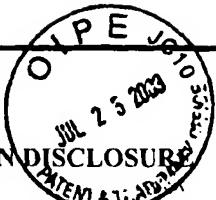
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W		5,289,485	02-22-1994	Mooradian
		5,784,183	07-21-1998	Aoki et al.
		5,999,303	12-07-1999	Drake
		6,044,705	04-04-2000	Neukermans et al.

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W		EP 0924821 A1	06-23-1999	NEC Corporation	
		WO 95/13638	05-18-1995	International Business Machines Corporation	
		JP 11326707	11-26-1999	Matsushita Electric Ind. Co. Ltd.	Abstract
		JP 56060088	05-23-1981	NEC Corporation	Abstract Only
		JP 2002246699	08-30-2002	Hitachi Ltd.	Abstract Only

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W		International Search Report dated 21 January 2003 for International Application No. PCT/US01/24969 and mailed 28 January 2003 (3 pages).

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Sheet 1 of 1

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	Application Number	10/002,703
	Filing Date	October 30, 2001
	Applicant(s)	Bardia Pezeshki, et al.
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U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No. ¹	DOCUMENT NUMBER Number - Kind Code ² (If Known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
<i>my</i>		US 2002/0064192 A1	05-30-2002	Mark Missey, et al.

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EXAMINER INITIALS	Cite No. ¹	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If Known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶ (<input checked="" type="checkbox"/>)
<i>my</i>		WO 02/13343 A2	02-14-2002	JDS Uniphase Corporation	
<i>✓</i>		WO 02/013343 A3	07-31-2003	JDS Uniphase Corporation	

OTHER DOCUMENTS		
EXAMINER INITIALS	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

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